



230421US26YA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Andrej S. MITROVIC

SERIAL NO: 10/673,501

GAU: 2128

FILED: September 30, 2003

EXAMINER: SAXENA, AKASH

FOR: SYSTEM AND METHOD FOR USING FIRST-PRINCIPLES SIMULATION TO CHARACTERIZE A SEMICONDUCTOR MANUFACTURING PROCESS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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Customer Number

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(OSMMN 05/03)
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Respectfully submitted,

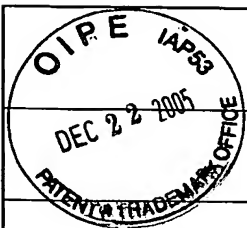
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Ronald A. Rudder, Ph.D.

Registration No. 45,618



Docket No.: 230421US26YA

Serial No.: 10/673,501

Inventor: Andrej S. MITROVIC

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: September 30, 2003

Group: 2128

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-----------------------------|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
| | 230420US26YA | 10/673,583 | 09/30/03 | 2005-0071039 | MITROVIC |
| | 230421US26YA* | 10/673,501 | 09/30/03 | 2005-0071036 | MITROVIC |
| | 231751US26YA | 10/673,507 | 09/30/03 | 2005-0071038 | STRANG |
| | 231752US26YA | 10/673,467 | 09/30/03 | 2005-0071035 | STRANG |
| | 231753US6YA | 10/673,506 | 09/30/03 | 2005-0071037 | STRANG |
| | 236518US26YA | 10/673,138 | 09/30/03 | 2005-0071034 | MITROVIC |

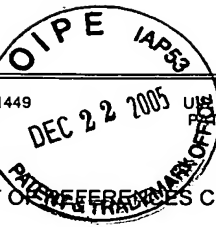
Examiner

Date Considered

*Present Application; listed for information

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
230421US26YASERIAL NO.
10/673,501

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Andrej S. MITROVICFILING DATE
September 30, 2003GROUP
2128

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|------------|-------|--------------|-------------------------------|
| | AA | 5,583,780 | 12/10/1996 | Kee et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | AO | | | | | |
| | AP | | | | | |
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| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | AW | |
| | AX | |
| | AY | |
| | AZ | <input type="checkbox"/> Additional References sheet(s) attached |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.